

Antioch University

AURA - Antioch University Repository and Archive

4.300 Staff Development (Human Resources) 4.000 Human Resources (see 5.300 for Faculty Employment)

October 2011

4.307 Performance Management

Follow this and additional works at: https://aura.antioch.edu/policies_400_3x

Recommended Citation

(2011). 4.307 Performance Management. https://aura.antioch.edu/policies_400_3x/2

This Article is brought to you for free and open access by the 4.000 Human Resources (see 5.300 for Faculty Employment) at AURA - Antioch University Repository and Archive. It has been accepted for inclusion in 4.300 Staff Development (Human Resources) by an authorized administrator of AURA - Antioch University Repository and Archive. For more information, please contact hhale@antioch.edu.

October 2011

4.307 Performance Management

Follow this and additional works at: http://aura.antioch.edu/policies_400_3x

Recommended Citation

"4.307 Performance Management" (2011). *4.300 Staff Development (Human Resources)*. 2.

http://aura.antioch.edu/policies_400_3x/2

This Article is brought to you for free and open access by the 4.000 Human Resources at AURA - Antioch University Repository and Archive. It has been accepted for inclusion in 4.300 Staff Development (Human Resources) by an authorized administrator of AURA - Antioch University Repository and Archive. For more information, please contact dpenrose@antioch.edu, wmcgrath@antioch.edu.



ANTIOCH UNIVERSITY

Type of Policy <input checked="" type="checkbox"/> University <input type="checkbox"/> <i>Campus</i> <input type="checkbox"/> <i>Department/Unit</i> <input type="checkbox"/> Interim		Performance Management Policy 4.307	
Human Resources Policies		Effective date: October 25, 2011	
Policy History:	Approved by:	Resolution #	Date:
Approved	Chancellor	N/A	October 25, 2011
Revised	Office of University Counsel	N/A	April 13, 2018
Responsible Office:	Responsible Administrator:	Contact information:	Applies to:
Office of Human Resources	Chief Human Resources Officer	937-769-1375	All Employees, except Faculty and those members of a recognized union

I. Introduction and Purpose

Antioch University (“AU” or the “University”) is committed to fostering a collaborative and high performance work culture. To achieve this, we strive to provide each employee with clear performance objectives, ongoing coaching and feedback, professional development, and recognition for outstanding work. AU has established a system of performance review for all employees. This system of performance management is used for the purpose of transfer, promotion, demotion, merit increases, retention, supervisory assistance, training and development and future employment references.

Employees who are members of collective bargaining units will be reviewed in accordance with the terms of the applicable collective bargaining agreement. Faculty evaluations are conducted in accordance with the Faculty Performance Review Policy # 5.317.

II. Performance Management Guiding Principles

An effective performance management system:

- A. Requires a shared responsibility between supervisor and employee in which the employee takes responsibility to work diligently to perform in a manner which meets or

exceeds expectations of the University, and the supervisor takes responsibility to inform the employee of those expectations and any deficiencies.

- B. Clarifies and aligns performance objectives with University goals and values.
- C. Includes feedback and coaching concerning job performance.
- D. Identifies training and professional development needs.
- E. Measures and documents performance.
- F. Provides context for human resource decisions (e.g. compensation, recognition, etc.).

III. Key Foundational Elements

The University supports flexibility in performance management systems; however, every system must include the foundational elements listed below.

- A. **Performance planning** – Clear performance objectives should be identified and communicated at the beginning and throughout the performance cycle. These performance objectives should align with University goals and values.
- B. **Coaching** – Supervisors should coach employees to help develop and use their talents for individual and institutional success. Coaching should occur on a regular basis and include a discussion of professional development.
- C. **Feedback** – Feedback is information about observed behavior that may be either appreciative or constructive. It is often helpful for employees to receive feedback from more than one source. For example, other administrators, peers, and direct reports can provide important feedback to supplement a supervisor’s observations.
- D. **Performance review** – A performance review is the culminating written communication of the performance cycle, focusing on areas of achievement, areas for improvement, and goals for the future.

Supervisors have some flexibility to customize the performance management system in consultation with the Office of Human Resources (“HR”).

IV. Performance Review Guidelines

The performance review component warrants particular guidelines due to its significance in providing data for important human resource decisions.

- A. All supervisors should complete an initial performance review within 7 calendar days of the end of the employee’s 90 day initial review period (“IRP”), whether for an initial, transfer, or

promotional position, unless terminated during the IRP. See the Initial Review Period Policy # 4.301 for more information.

- B. All employees should receive a performance review at least annually and normally prior to April 30th. Supervisors may conduct additional reviews as needed.
- C. Performance reviews must be discussed and are normally signed by the supervisor and employee. The employee should receive a copy in writing or electronically, and a copy must be retained in the employee's personnel file. Employees' signatures indicate that the performance review has been discussed with them. It does not imply agreement with the content of the performance review.
- D. Performance reviews do not constitute terms or conditions of employment.
- E. The performance review should include the employee's self-review and a review by the employee's immediate supervisor.
- F. The performance management process also seeks to identify performance gaps or deficiencies (that is, the differences between expected performance and actual performance). Specific goals for performance enhancement and improvement should be outlined at review time and should also be a continual and ongoing process between review periods. Performance management should be a two-way interactive process, with the end goal of assisting employees to be successful in their roles. The supervisor should assess performance with the employee and identify standards, expectations and performance goals. Performance management process is a critical element in identifying training and development needs.
- G. Any substandard performance review may be followed by additional reviews of performance at regular intervals following the substandard review. Supervisors may utilize a written Performance Improvement Plan (PIP) at any time during the employment cycle to document areas of deficiency, standards and expectations, time tables for improvement, resources for improvement and possible consequences for failure to meet expectations. An employee who is on PIP at the time of contract renewal is not eligible for a successor one-year contract, and instead, shall be issued a contract for the remaining term of the PIP. If performance improves to a satisfactory level at the conclusion of that contract and PIP, the employee will be eligible for a contract for the balance of the year.
- H. AU embraces the practice of progressive discipline as per the Corrective Action and Discipline Policy # 4.617. Unsatisfactory performance or behavior is ordinarily counseled progressively by verbal warnings, written warnings, suspension/probation, non-renewal, and termination. Some actions may be so egregious that they warrant dismissal on first offense, such as work refusal, insubordination, or deceitful conduct, among others. Reasonable efforts may be undertaken by appropriate authorities to assist employees in narrowing the gap between unsatisfactory performance and satisfactory performance when possible and in accordance with the Corrective Action and Discipline Policy.

- I. Employees who are dissatisfied with a performance evaluation may submit a rebuttal for their personnel file. However, the evaluation is not a proper subject for an employee grievance. Employees who feel they have been discriminated against in violation of the University's Affirmative Action and Equal Opportunity Policy may file a complaint under the Discrimination Complaint Policy.

Policy Cross References

Affirmative Action and Equal Opportunity	Policy # 4.005
Appointments and Contracts	Policy # 4.203
Initial Review Period	Policy # 4.301
Corrective Action and Discipline	Policy # 4.617
Discrimination Complaints	Policy # 4.619
Faculty Review Policy	Policy # 5.317